# RECENT ADVANCES IN DEEP LEARNING FOR NATURAL LANGUAGE PROCESSING

### CSCI 5922 - NEURAL NETWORKS AND DEEP LEARNING

- Latent Semantic Analysis, Deerwester et al, 1988 [link] [wikipedia]
- A Neural Probabilistic Language Model, Bengio et al, 2003 [link]
- Recurrent Neural Network-Based Language Model, Mikolov et al, 2010 [link]
- Linguistic Regularities in Continuous Space Word Representations, Mikolov et al, 2013 [link]
- Distributed Representations of Words and Phrases and their Compositionality, Mikolov et al, 2013
   [link]
- Murad Chowdhury presents: Attention is All you Need, Vaswani et al 2017 [link]
- GLUE Benchmark [link]
- Deep Contextualized Word Representations, Peters et al, 2018 [link]
- Improving Language Understanding by Generative Pre-Training, Redford et al, 2018 [link] (GPT)
- BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding, Devlin et al, 2018
  [link]

# **TERM-DOCUMENT MATRIX**

Consider a corpus consisting of three sentences. Each sentence is considered a document.

#### THE DOCTOR PRESCRIBED MEDICINE TO THE PATIENT. THE PHYSICIAN PRESCRIBED ANTIBIOTICS. THE DOCTOR WAS PATIENT WITH HER CHILD.

This can be represented as a termdocument matrix with terms as rows and documents as columns. Let's call it X.

 $\mathbf{X} \in \mathbb{R}^{|V| \times |D|}$ 

where

- |V| : The number of words in the vocabulary
- |D|: The number of documents in the corpus

Term	Doc1	Doc2	Doc3
antibiotics	0	1	0
child	0	0	1
doctor	1	0	1
her	0	0	1
medicine	1	0	0
patient	1	0	1
physician	0	1	0
prescribed	1	1	0
the	2	1	1
to	1	0	0
was	0	0	1
with	0	0	1

# SYNONYMY

Synonyms have the same referent but different forms. In e.g. English, the forms would be spelled differently, whereas in e.g. Chinese the forms would be different characters.

#### SIMILAR WORDS, DIFFERENT REPRESENTATIONS.

In a term-document matrix, the dot product of the row vectors of synonymous terms is

 $X_{doctor} X_{physician} = 0$ 

Term	Doc1	Doc2	Doc3
antibiotics	0	1	0
child	0	0	1
doctor	1	0	1
her	0	0	1
medicine	1	0	0
patient	1	0	1
physician	0	1	0
prescribed	1	1	0
the	2	1	1
to	1	0	0
was	0	0	1
with	0	0	1

#### LATENT SEMANTIC ANALYSIS

# POLYSEMY

A polysemous word has multiple meanings, depending on context.

#### DIFFERENT WORDS, SAME REPRESENTATION.

Here, the dot product of a polysemous word with itself is

$$\mathbf{x}_{\text{patient}} \ \mathbf{x}_{\text{patient}} = 1$$

and the masked dot product of two documents containing different meanings of a polysemous word

$$\mathbf{x}_{\mathbf{doc}_{\mathbf{i}}} \ \mathbf{x}_{\mathbf{doc}_{\mathbf{j}}} = 1$$

Term	Doc1	Doc2	Doc3
antibiotics	0	1	0
child	0	0	1
doctor	1	0	1
her	0	0	1
medicine	1	0	0
patient	1	0	1
physician	0	1	0
prescribed	1	1	0
the	2	1	1
to	1	0	0
was	0	0	1
with	0	0	1

#### HANDLING SYNONYMY WITH SINGULAR VALUE DECOMPOSITION

 $X = U\Sigma V^{T}$ 

Reduced rank SVD

Orthogonal matrix with left singular vectors (each row corresponds to a term).

Diagonal matrix with singular values.

Orthogonal matrix richt singular vectors (each column corresponds to a document).

 $\mathbf{X} \in \mathbb{R}^{|V| \times |D|}$ 

 $\mathbf{U} \in \mathbb{R}^{|V| \times K}$ 

 $\Sigma \in \mathbb{R}^{K \times K}$ 

 $\mathbf{V}^{\mathrm{T}} \in \mathbb{R}^{K \times |D|}$ 

THIS DOES NOT HELP WITH POLYSEMY.

# BENGIO ET AL, 2003 [LINK]

- Associate a distributed representation (a vector) with each word in the vocabulary.
- To predict the next word, express the joint probability of word sequences in terms of the feature vectors of these words in the sequence.
- Simultaneously learn the distributed representations and the parameters of the classifier.



### BENGIO ET AL, 2003 [LINK]

- Results on the Brown corpus.
- Direct indicates whether there are direct connections from input to output.
- Mix indicates whether network output probability and trigram-model probability are averaged.

	n	с	h	m	direct	mix	train.	valid.	test.
MLP1	5		50	60	yes	no	182	284	268
MLP2	5		50	60	yes	yes		275	257
MLP3	5		0	60	yes	no	201	327	310
MLP4	5		0	60	yes	yes		286	272
MLP5	5		50	30	yes	no	209	296	279
MLP6	5		50	30	yes	yes		273	259
MLP7	3		50	30	yes	no	210	309	293
MLP8	3		50	30	yes	yes		284	270
MLP9	5		100	30	no	no	175	280	276
MLP10	5		100	30	no	yes		265	252
Del. Int.	3						31	352	336
Kneser-Ney back-off	3							334	323
Kneser-Ney back-off	4							332	321
Kneser-Ney back-off	5							332	321
class-based back-off	3	150						348	334
class-based back-off	3	200						354	340
class-based back-off	3	500						326	312
class-based back-off	3	1000						335	319
class-based back-off	3	2000						343	326
class-based back-off	4	500						327	312
class-based back-off	5	500						327	312

#### MIKOLOV ET AL, 2010 [LINK]

$$x(t) = w(t) + s(t - 1)$$

$$s_j(t) = f\left(\sum_i x_i(t)u_{ji}\right)$$

$$y_k(t) = g\left(\sum_j s_j(t)v_{kj}\right)$$

$$f(z) = \frac{1}{1 + e^{-z}}$$

$$g(z_m) = \frac{e^{z_m}}{\sum_k e^{z_k}}$$



### MIKOLOV ET AL, 2013 [LINK]

Given unit-normalized distributed word representations learned by a recurrent network, and given an analogy question a:b c:d, take the word embeddings x\_i for words a, b, c, d and compute:

$$y = x_b - x_a + x_c$$

Then find the nearest word w\* to y.

$$w^* = \operatorname{argmax}_{w} \frac{x_{w}y}{||x_{w}||||y||}$$



Then plug w\* into the analogy to complete it. If w\* is c, the model completes the analogy correctly.

#### LINGUISTIC REGULARITIES IN CONTINUOUS SPACE WORD REPRESENTATIONS

Method	Adjectives	Nouns	Verbs	All
LSA-80	9.2	11.1	17.4	12.8
LSA-320	11.3	18.1	20.7	16.5
LSA-640	9.6	10.1	13.8	11.3
RNN-80	9.3	5.2	30.4	16.2
RNN-320	18.2	19.0	45.0	28.5
RNN-640	21.0	25.2	54.8	34.7
<b>RNN-1600</b>	23.9	29.2	62.2	39.6

Method	Adjectives	Nouns	Verbs	All
RNN-80	10.1	8.1	30.4	19.0
CW-50	1.1	2.4	8.1	4.5
CW-100	1.3	4.1	8.6	5.0
HLBL-50	4.4	5.4	23.1	13.0
HLBL-100	7.6	13.2	30.2	18.7

### MIKOLOV ET AL, 2013 [LINK]



A more efficient way of computing word2vec – called negative sampling – was introduced later in 2013 [<u>link</u>]. What's inefficient about the above?

#### **GLUE BENCHMARK**



What might explain the slope of Turkey-Ankara?

# PETERS ET AL, 2018 [LINK]

- Basic idea: use bidirectional language model to obtain contextual word representations.
- Transfer features from all layers of network to supervised tasks.
- Obtain SOTA performance!

For a given supervised task, the noncontextual embeddings and contextual hidden states are reweighted using a softmax s^task to create a task-specific ELMo vector.

$$R_k = \{\mathbf{x}_k^{LM}, \overrightarrow{\mathbf{h}}_{k,j}^{LM}, \overleftarrow{\mathbf{h}}_{k,j}^{LM} \mid j = 1, \dots, L\}$$
$$= \{\mathbf{h}_{k,j}^{LM} \mid j = 0, \dots, L\},$$

$$\mathbf{ELMo}_{k}^{task} = E(R_{k}; \Theta^{task}) = \gamma^{task} \sum_{j=0}^{L} s_{j}^{task} \mathbf{h}_{k,j}^{LM}.$$

TASK	PREVIOUS SOTA		OUR BASELINE	ELMO + BASELINE	INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	85.8	4.7 / 24.9%
SNLI	Chen et al. (2017)	88.6	88.0	$88.7 \pm 0.17$	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	84.6	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	70.4	3.2/9.8%
NER	Peters et al. (2017)	$91.93\pm0.19$	90.15	$92.22\pm0.10$	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	$54.7\pm0.5$	3.3 / 6.8%

### **MURAD CHOWDHURY PRESENTS**

### WANG ET AL, 2019 [LINK]

The GLUE Benchmark has two parts. The first is a set of datasets for different tasks (see below). These datasets vary in size and all have pre-allocated test sets. The training sets can be used in a multitask learning setting.

Corpus	Train	Test	Task	Metrics	Domain			
	Single-Sentence Tasks							
CoLA	8.5k	1k	acceptability	Matthews corr.	misc.			
SST-2	67k	1.8k	sentiment	acc.	movie reviews			
	Similarity and Paraphrase Tasks							
MRPC	3.7k	1.7k	paraphrase	acc./F1	news			
STS-B	7k	1.4k	sentence similarity	Pearson/Spearman corr.	misc.			
QQP	364k	391k	paraphrase	acc./F1	social QA questions			
			Infere	ence Tasks				
MNLI	393k	20k	NLI	matched acc./mismatched acc.	misc.			
QNLI	105k	5.4k	QA/NLI	acc.	Wikipedia			
RTE	2.5k	3k	NLI	acc.	news, Wikipedia			
WNLI	634	146	coreference/NLI	acc.	fiction books			

### WANG ET AL, 2019 [LINK]

The second part is a set of diagnostic tests. These have no training set. They are intended for evaluation purposes only, and they test features of language that are considered essential to natural language understanding.

<b>Coarse-Grained Categories</b>	Fine-Grained Categories
Lexical Semantics	Lexical Entailment, Morphological Negation, Factivity, Symmetry/Collectivity, Redundancy, Named Entities, Quantifiers
Predicate-Argument Structure	Core Arguments, Prepositional Phrases, Ellipsis/Implicits, Anaphora/Coreference Active/Passive, Nominalization, Genitives/Partitives, Datives, Relative Clauses, Coordination Scope, Intersectivity, Restrictivity
Logic	Negation, Double Negation, Intervals/Numbers, Conjunction, Disjunction, Conditionals, Universal, Existential, Temporal, Upward Monotone, Downward Monotone, Non-Monotone
Knowledge	Common Sense, World Knowledge

### DEVLIN ET AL, 2018 [LINK]



BERT is fully bi-directional. ELMO is two independent LSTMs consuming a sentence and its reverse. OpenAI GPT is a forward-only transformer.

BERT is trained like a Cloze test.

The other day I was on a \_\_\_\_\_ in the park and I saw a squirrel.

Except that multiple words are deleted. Unlike a de-noising autoencoder, which is trained to reconstruct the input completely, BERT is trained to predict only the missing words.

BERT: PRE-TRAINING OF DEEP BIDIRECTIONAL TRANSFORMERS FOR LANGUAGE UNDERSTANDING

### DEVLIN ET AL, 2018 [LINK]

2									
System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERTLARGE	86.7/85.9	72.1	91.1	94.9	60.5	86.5	89.3	70.1	81.9

#### GLUE BENCHMARK AS OF APRIL 2019

	Rank	Name	Model	URL	Score
	1	GLUE Human Baselines	GLUE Human Baselines		87.1
÷	2	Microsoft D365 AI & MSR AI	MT-DNN++ (BigBird)		83.8
÷	3	王玮	ALICE large (Alibaba DAMO NLP)		83.3
	4	Stanford Hazy Research	Snorkel MeTaL		83.2
	5	Anonymous Anonymous	BERT + BAM		82.3
	6	张倬胜	SemBERT		82.0
÷	7	Jason Phang	BERT on STILTs		82.0
÷	8	Jacob Devlin	BERT: 24-layers, 16-heads, 1024-hidde		80.5
	9	Neil Houlsby	BERT + Single-task Adapters		80.2
	10	Alec Radford	Singletask Pretrain Transformer		72.8
	11	GLUE Baselines	BiLSTM+ELMo+Attn		70.0